

# CS 5000: Lecture 39

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# Outline

- Normal Form for (Partially) Computable Functions
- Sets and Characteristic Functions
- Recursively Enumerable Sets

# Review: Theorem 3.3 (Ch. 4): Normal Form Theorem

Let  $f(x_1, \dots, x_n)$  be a partially computable function.

Then there is a primitive recursive predicate  $R(x_1, \dots, x_n, y_0, z)$

such that

Let  $f(x_1, \dots, x_n) = l\left(\min_z R(x_1, \dots, x_n, y_0, z)\right)$ , where  $y_0$

is the number of a program that computes  $f(x_1, \dots, x_n)$ .

# Review: Theorem 3.4 (Ch. 4)

A function is partially computable if and only if it can be obtained from the initial functions by a finite number of applications of composition, recursion, and minimalization.

# Proper Minimalization

$\min_z R(x_1, \dots, x_n, y_0, z)$  can be a total function.

When does this happen?

This happens when for each  $x_1, \dots, x_n$  there is at least one  $z$  such that  $R(x_1, \dots, x_n, y_0, z) = 1$ .

If this is the case,  $\min_z R(x_1, \dots, x_n, y_0, z)$  is proper minimalization.

# Theorem 3.5 (Ch. 4)

A function is computable if and only if it can be obtained by a finite number of applications of composition, recursion, and proper minimalization.

# Characteristic Functions

$B \subseteq N^m, m \geq 1$ . Then  $P_B(x_1, \dots, x_m)$  is a characteristic function of  $B$  if

$$P_B(x_1, \dots, x_m) = \begin{cases} 1 & \text{if } (x_1, \dots, x_m) \in B \\ 0 & \text{otherwise.} \end{cases}$$

# Sets and Characteristic Functions

Let  $B \subseteq N^m, m \geq 1$ .  $B$  belongs to some class of functions if and only if its characteristic function  $P(x_1, \dots, x_m)$  belongs to that class of functions.

# A Note on Terminology

- $F$  is a characteristic function of  $B$ .
- $F$  computes  $B$ .
- The two above statements are synonymous.

# Example 1

$$B = \{(x, y) \in N^2 \mid \text{HALT}(x, y)\}.$$

Then computing  $B$  is as hard as computing  $\text{HALT}(x, y)$ .

# Theorem 4.1 (Ch. 4)

Let the sets  $B, C$  belong to some PRC class  $K$ . Then so do the sets  $B \cup C, B \cap C, \overline{B}$ .

# Theorem 4.1: Proof

- 1) By Theorem 5.1 of Ch. 3, if  $K$  is a PRC class and  $P$  and  $Q$  belong to  $K$ , then so do  $\overline{P}$ ,  $P \vee Q$ , and  $P \& Q$ .
- 2) Let  $P_B(x_1, \dots, x_m)$  be the characteristic function of  $B$ .
- 3) Let  $P_C(x_1, \dots, x_m)$  be the characteristic function of  $C$ .
- 4) Since  $B$  and  $C$  are in  $K$ ,  $P_B$  and  $P_C$  are in  $K$ .
- 5) By Theorem 5.1 of Ch. 3,  $\overline{P_B}$ ,  $P_B \vee P_C$ ,  $P_B \& P_C$  are in  $K$ .

# Theorem 4.2, Ch. 4

Let  $K$  be a PRC class, and let  $B$  be a subset of  $N^m$ ,  $m \geq 1$ . Then  $B$  belongs to  $K$  if and only if

$$B' = \{ [x_1, \dots, x_m] \in N \mid (x_1, \dots, x_m) \in B \}$$

belongs to  $K$ .

# Theorem 4.2: Proof

Let  $P_B(x_1, \dots, x_m)$  be the characteristic function of  $B$ . Define  $P_{B'}$  as follows :

$$P_{B'}(x) \Leftrightarrow P_B((x)_1, \dots, (x)_m) \& Lt(x) = m$$

Thus, if  $P_B \in K$ , so is  $P_{B'}$ .

# Theorem 4.2: Proof

On the other hand, if  $P_{B'}$  is the characteristic function of  $B'$ , define  $P_B$  as follows:

$$P_B(x_1, \dots, x_m) \Leftrightarrow P_{B'}([x_1, \dots, x_m]).$$

If  $P_{B'} \in K$ , then  $P_B \in K$ .

# Corollary 4.2

Let  $B = \{[x, y] \in N \mid \text{HALT}(x, y)\}$ . Then  $B$  is not computable.

# Theorem 4.2 (Ch. 4) and $N^m$

- Theorem 4.2 (Ch. 4) essentially says that we do not need multi-dimensional spaces when we talk about computability on natural numbers.
- As long as we have functions  $(\cdot)_i$  and  $[ \ ]$ , we can stay within  $N$ .
- $[ \ ]$  is the primitive recursive function that computes Godel numbers.

# Recursively Enumerable (R.E.) Sets: Definition

The set  $B$  is recursively enumerable (r.e.)  
if there is a partially computable function  
 $g(x)$  such that  $B = \{x \in N \mid g(x) \downarrow\}$ .

# Observations

- A set is r.e. when it is the domain of a partially computable function.
- Let  $P$  be a program that computes some partially computable function. Then all inputs to  $P$  on which it halts form an r.e. set.

# Recommended Reading

- Section 4.4.