

# CS 5000: Lecture 11

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# Outline

- Stack Machines and Context-Free Grammars
- Chomsky Normal Form

# Stack Machine $\rightarrow$ CFG

Theorem : if  $M = (\Gamma, \Sigma, S, \delta)$  is a stack machine, then there is a CFG  $G$  such that  $L(G) = L(M)$ .

Proof Sketch : Assume that  $\Gamma \cap \Sigma = \emptyset$ . Construct  $G = (\Gamma, \Sigma, S, P)$  so that whenever  $t \in \delta(z, A)$ , add the production  $A \rightarrow zt$  to  $P$ .

# Example: Stack Machine for $\{a^n b^n\}$

read	pop	push
a	S	S1
$\epsilon$	S	$\epsilon$
b	1	$\epsilon$

# Example: Stack Machine for $\{a^n b^n\}$

- Here is a CFG obtained from the stack machine:
  - $S \rightarrow aS1$
  - $S \rightarrow \varepsilon$
  - $1 \rightarrow b$
  - Note that 1 is a symbol of the stack alphabet in this grammar.

# Example: Stack Machine for $\{a^n b^{2n}\}$

read	pop	push
a	S	Sbb
$\epsilon$	S	$\epsilon$
b	b	$\epsilon$

# First Attempt

- Here the first try:
  - $S \rightarrow aSbb$
  - $S \rightarrow \varepsilon$
  - $b \rightarrow b$
- Anything wrong with this grammar?

# Second Attempt

- The previous grammar is not context-free, because it contains the production  $b \rightarrow b$ .
- In our construction we broke the assumption that the stack and input alphabets have nothing in common.
- Solution: rename the stack alphabet to separate it from the input alphabet.

# Separating $\Gamma$ and $\Sigma$

read	pop	push
a	S	SBB
$\epsilon$	S	$\epsilon$
b	B	$\epsilon$

# Second Attempt

- Here is our second attempt after the renaming:
  - $S \rightarrow aSBB$
  - $S \rightarrow \varepsilon$
  - $B \rightarrow b$
- This is a CFG that accepts the same language.

# The Empty String Lemma

Let  $G$  be a CFG  $(V, \Sigma, S, P)$  where  $P$  contains rules of the form  $A \rightarrow \varepsilon$  where  $A \in V$ . There is a CFG  $G'$  such that

1.  $L(G) = L(G')$ .
2. If  $\varepsilon \notin L(G)$ , then there are no productions of the form  $A \rightarrow \varepsilon$  in  $G'$ .
3. If  $\varepsilon \in L(G)$ , then there is a single  $\varepsilon$ -production in  $G'$ ,  $S' \rightarrow \varepsilon$ , where  $S'$  is the start symbol of  $G'$  and  $S'$  does not occur on the right hand side of any production of  $G'$ .

# Proof Sketch: Part 1

Suppose that  $\varepsilon \notin L(G)$ . For every  $C \in V$ , consider all productions  $C \rightarrow w, w \neq \varepsilon$ .

Suppose  $G$  consists of variables  $A_1, \dots, A_n, B_1, \dots, B_m$ , where for  $1 \leq i \leq k, A_i \Rightarrow^* \varepsilon$ . Add to  $G$  the productions  $C \rightarrow w'$ , where  $w'$  is obtained from  $w$  by deleting 0 or more occurrences of  $A_i$ 's. For example,

$C \rightarrow aA_1BA_2$  yields 4 productions :

$C \rightarrow aA_1BA_2; C \rightarrow aBA_2; C \rightarrow aA_1B; C \rightarrow aB.$

When this process is complete, remove all  $\varepsilon$ -productions from  $G$ . Then remove all productions that have on the right hand side variables that do not occur on the left hand side of any production. The resulting grammar is  $G'$ .

# Example

$$S \rightarrow ABDC$$

$$C \rightarrow RS$$

$$B \rightarrow \varepsilon$$

$$A \rightarrow a$$

$$D \rightarrow d$$

$$R \rightarrow \varepsilon$$

$$S \rightarrow d$$

# Example: Step 1

$S \rightarrow ABDC$

$S \rightarrow ADC$

$C \rightarrow RS$

$C \rightarrow S$

$B \rightarrow \varepsilon$

$A \rightarrow a$

$D \rightarrow d$

$R \rightarrow \varepsilon$

$S \rightarrow d$

# Example: Step 2

$S \rightarrow ABDC$

$S \rightarrow ADC$

$C \rightarrow RS$

$C \rightarrow S$

$A \rightarrow a$

$D \rightarrow d$

$S \rightarrow d$

# Example: Step 3

$$S \rightarrow ADC$$

$$C \rightarrow S$$

$$A \rightarrow a$$

$$D \rightarrow d$$

$$S \rightarrow d$$

# Proof Sketch: Part 2

If  $\varepsilon \in L(G)$ , then add a new start symbol to  $G'$ , say  $S'$ , and add two new productions :  
 $S' \rightarrow S$  and  $S' \rightarrow \varepsilon$ . Then repeat the  $\varepsilon$  - rule elimination process of the previous step on all rules except these two ones.

# A → B Elimination Theorem

Theorem : Let  $G$  be a CFG, there is another CFG  $G'$  such that :

1.  $L(G) = L(G')$ ;
2. If  $\varepsilon \notin L(G)$ , then there are no productions of the form  $A \rightarrow \varepsilon$  in  $G'$ .
3. If  $\varepsilon \in L(G)$ , then there is a single  $\varepsilon$  - production in  $G'$   $S' \rightarrow \varepsilon$ , where  $S'$  is the start symbol of  $G'$  and  $S'$  does not occur on the right hand side of any production in  $G'$ .
4.  $G'$  has no productions of the form  $A \rightarrow B$ , where  $A \in V, B \in V$ .

# Proof Sketch

- By the previous theorem we may assume that conditions 2 and 3 are satisfied.
- To satisfy condition 4, construct a new production set  $P'$  by first
  - including into it all productions from  $P$  that are not of the form  $A \rightarrow B$ , where  $A$  and  $B$  are variables;
  - for each pair of variables  $A$  and  $B$ , if  $A \rightarrow^* B$  according to  $P$ , then add in  $P'$   $A \rightarrow w$  if  $B \rightarrow w$  is a production of  $P$  and  $w$  is not in  $V$ .

# Normal Forms

- A normal form is a standard form of representing every object from a given set of objects.
- Example:  $S = \{ 10/20, 15/30, 14/28, 100/200, 45/90, 3/6 \}$ .
- Every object in  $S$  can be represented as  $\frac{1}{2}$  by reducing it to lowest terms.

# Chomsky Normal Form (CNF)

$G = (V, \Sigma, S, P)$  is in Chomsky Normal Form (CNF) iff each production in  $P$  has one of the following 3 forms :

1.  $S \rightarrow \varepsilon$ .
2.  $A \rightarrow BC$ , where  $A, B, C \in V$ .
3.  $A \rightarrow a$ , where  $A \in V, a \in \Sigma$ .
4. If  $S \rightarrow \varepsilon \in P$ , then  $B \neq S, C \neq S$  in rule 2.

# CNF Theorem

Theorem : Let  $G$  be a CFG. There is a CNF grammar  $G'$  such that  $L(G) = L(G')$ .

Proof Sketch : Assume that  $G$  satisfies conditions 2, 3, and 4 of the previous theorem. The set of productions  $P'$  is obtained from  $P$  as follows.

If  $A \rightarrow xay$  is a production in  $P$ , where  $a$  is a terminal and  $x$  and  $y$  are strings of variables and terminals such that not both of them are empty, add the production  $C_a \rightarrow a$  to  $P$ . Include all productions from  $P$  of the form  $A \rightarrow a$  and  $A \rightarrow BC$ . For every production of the form  $A \rightarrow B_1 \dots B_m$ ,  $m \geq 3$ , add the following  $m-1$  productions into  $P'$  :

$$A \rightarrow B_1 C_1$$

$$C_1 \rightarrow B_2 C_2$$

...

$$C_{m-2} \rightarrow B_{m-1} B_m.$$

# Example: CNF Conversion

$S \rightarrow bA$

$S \rightarrow aB$

$A \rightarrow bAA$

$A \rightarrow aS$

$A \rightarrow a$

$B \rightarrow aBB$

$B \rightarrow bS$

$B \rightarrow b$

# CNF Conversion: Stage 1

- $A \rightarrow a$
- $B \rightarrow b$
- Replace  $S \rightarrow bA$  with  $S \rightarrow C_bA$ ;  $C_b \rightarrow b$
- Replace  $S \rightarrow aB$  with  $S \rightarrow C_aB$ ;  $C_a \rightarrow a$
- Replace  $A \rightarrow bAA$  with  $A \rightarrow C_bAA$
- Replace  $A \rightarrow aS$  with  $A \rightarrow C_aS$ ;  $C_a \rightarrow a$
- Replace  $B \rightarrow aBB$  with  $B \rightarrow C_aBB$
- Replace  $B \rightarrow bS$  with  $B \rightarrow C_bS$

# CNF Conversion: Stage 2

- Replace  $A \rightarrow C_b AA$  with
- $A \rightarrow C_b D_1$  and  $D_1 \rightarrow AA$
- Replace  $B \rightarrow C_a BB$
- $B \rightarrow C_a D_2$  and  $D_2 \rightarrow BB$

# CNF Conversion: Stage 3

- $S \rightarrow C_b A \mid C_a B$
- $A \rightarrow C_a S \mid C_b D_1 \mid a$
- $B \rightarrow C_b S \mid C_a D_2 \mid b$
- $D_1 \rightarrow AA$
- $D_2 \rightarrow BB$
- $C_a \rightarrow a$
- $C_b \rightarrow b$

# Recommended Reading

- Section 10.3.

# References

- Moll, R.N., Arbib, M.A., Kfoury, A.J. (1988). *An Introduction to Formal Language Theory*. Springer-Verlag.