

# CS 5000: Lecture 4

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# Outline

- Nondeterminism
- Power Sets
- Nondeterministic FA (NFA)
- NFAs and their Languages
- Instantaneous Descriptions
- DFA  $\rightarrow$  NFA
- NFA  $\rightarrow$  DFA

# Nondeterminism

- Given an input, there can be more than one legal sequence of steps to process the input.
- The input is accepted if at least one legal sequence of moves ends up in an accepting state.

# Power Sets

Let  $S$  be a set. The power set of  $S$  is

$$P(S) = \{R \mid R \subseteq S\}.$$

# Nondeterministic Finite Automata

An NFA  $M$  is a 5 - tuple  $M = (Q, \Sigma, \delta, q_0, F)$ ,

where

$Q$  is a finite set of states;

$\Sigma$  is an alphabet, i.e. a finite set of symbols;

$\delta : Q \times (\Sigma \cup \{\varepsilon\}) \rightarrow P(Q)$ ;

$q_0 \in Q$  is the start state;

$F \subseteq Q$  is the set of accepting states.

# Instantaneous Descriptions (IDs)

An ID for an NFA is a pair  $(q, x)$  where  $q \in Q$  is the current state and  $x \in \Sigma^*$  is the unread part of the input.

For any string  $x \in \Sigma^*$  and any  $a \in \Sigma$  or  $a = \varepsilon$ ,  
 $(q, ax) \mapsto (r, x)$  if and only if  $r \in \delta(q, a)$ .

$\rightarrow^*$  is a relation on IDs, i.e.  $I \rightarrow^* J$  if and only if there is a sequence of zero or more  $\rightarrow$  relations that starts with  $I$  and ends with  $J$ .

# NFAs and Their Languages

$$\delta^*(q, x) = \{r \mid (q, x) \mapsto^* (r, \varepsilon)\};$$

If  $M$  is an NFA, then  $L(M) = \{x \in \Sigma^* \mid \delta^*(q_0, x) \cap F \neq \emptyset\}$ .

# NFA $\rightarrow$ DFA

Theorem : Let  $N$  be a NFA. Then there is a DFA  $D$  such that  $L(N) = L(D)$  is regular.

Proof : Let  $N = (Q_N, \Sigma, \delta_N, q_N, F_N)$ . Construct a new DFA  $D = (Q_D, \Sigma, \delta_D, q_D, F_D)$  where

1.  $Q_D = P(Q_N)$ ;
2.  $\delta_D(R, a) = \bigcup_{r \in R} \delta_N^*(r, a), R \in Q_D, a \in \Sigma$ ;
3.  $\delta_N^*(q_N, \varepsilon) = q_N$ ;
4.  $F_D = \{R \in Q_D \mid R \cap F_N \neq \emptyset\}$ .

By construction,  $\delta_D^*(q_D, x) = \delta_N^*(q_N, x)$ , for all  $x \in \Sigma^*$ .

# DFA $\rightarrow$ NFA

Theorem (DFA  $\rightarrow$  NFA): If  $L$  is regular, there is some NFA  $N$  such that  $L(N) = L$ .

Proof : Let  $L$  be a regular language. There must be some DFA  $D = (Q, \Sigma, \delta_D, q_0, F)$  with  $L(D) = L$ . We can make  $D$  into an NFA by defining  $\delta_N(q, a) = \{\delta_D(q, a)\}$ , for  $q \in Q$  and  $a \in \Sigma$ .